



A Multiplex PCR and DNA-Sequencing Workflow on Serum for the Diagnosis and Species Identification for Invasive Aspergillosis and Mucormycosis

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ABSTRACT There has been significant increase in the use of molecular tools for the diagnosis of invasive aspergillosis (IA) and mucormycosis. However, their range of detection may be too limited as species diversity and coinfections are increasing. Here, we aimed to evaluate a molecular workflow based on a new multiplex PCR assay detecting the whole *Aspergillus* genus and the Mucorales order followed by a species-specific PCR or a DNA-sequencing approach for IA and/or mucormycosis diagnosis and species identification on serum. Performances of the MycoGENIE *Aspergillus* spp./Mucorales spp. duplex PCR kit were analyzed on a broad range of fungal strains and on sera from high-risk patients prospectively over a 12-month period. The kit allowed the detection of nine *Aspergillus* species and 10 Mucorales (eight genera) strains assessed. No cross-reactions between the two targets were observed. Sera from 744 patients were prospectively analyzed, including 35 IA, 16 mucormycosis, and four coinfections. Sensitivity varies from 85.7% (18/21) in probable/proven IA to 28.6% (4/14) in COVID-19-associated pulmonary aspergillosis. PCR-positive samples corresponded to 21 *A. fumigatus*, one *A. flavus*, and one *A. nidulans* infections. All the disseminated mucormycosis were positive in serum (14/14), including the four *Aspergillus* coinfections, but sensitivity fell to 33.3% (2/6) in localized forms. DNA sequencing allowed Mucorales identification in serum in 15 patients. Remarkably, the most frequent species identified was *Rhizomucor pusillus* (eight cases), whereas it is barely found in fungal culture. This molecular workflow is a promising approach to improve IA and mucormycosis diagnosis and epidemiology.

KEYWORDS invasive aspergillosis, mucormycosis, molecular diagnosis, fungal PCR, DNA sequencing, *Aspergillus*, Mucorales, *Rhizomucor pusillus*, *Cunninghamella*

Invasive mold infections (IMI), including invasive aspergillosis (IA) and mucormycosis, are life-threatening diseases occurring mainly in critically ill patients (1, 2). Although an early targeted antifungal therapy is essential for IMI management and therapeutic success, their diagnoses are still challenging. Indeed, as histopathological analysis of deep-seated biopsies showing hyphae (septate for *Aspergillus* or nonseptate for Mucorales) is barely performed, the diagnosis relies mainly on a combination of clinical, radiological, and mycological features (3–5). Among the latter, molecular tools, such as real-time PCR, have shown potential on blood-derived samples, respiratory samples, or deep-seated biopsies (6–11). *Aspergillus* PCR was included in the last version of the criteria of the European Organization of Research and Treatment of Cancer (EORTC)/

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Mycoses Study Group Education and Research Consortium (MSGERC) for the definition of IA (12). Even though Mucorales PCR was not yet included in these criteria because of a lack of standardization or test availability, it has also greatly improved mucormycosis diagnosis in last years (13). Indeed, because fungal culture often fails and no antigenic biomarkers are prospectively validated yet (14), PCR might provide the sole mycological evidence during mucormycosis. *Aspergillus* and Mucorales PCR have also shown utility for the disease prognostic (15, 16), or for the detection of *Aspergillus fumigatus* azole-resistance mutations (17).

Despite undeniable advantages of real-time PCR for IMI diagnosis and management, it has some limitations. Indeed, in contrast to fungal culture, PCR is limited by its individual range of detection. Even if IA are mainly related to the species *A. fumigatus*, due to the change in the *Aspergillus* taxonomy and the discover of cryptic species, up to 30 several *Aspergillus* species have been involved in IA (18). Conversely, mucormycosis, are related to a broad range of genus and species belonging to the Mucorales order, including the most frequent genera *Rhizopus*, *Mucor*, or *Lichtheimia* (2, 19). However, genus repartition differs across geographical sites and up to nine genera have been involved in human disease (19). Consequently, because commercial real-time PCR kits are limited to few species for *Aspergillus* or certain genera for Mucorales, causative-species may be outside their scope and infections may be underdiagnosed. Moreover, coinfections are increasingly reported, but also may be underdiagnosed if *Aspergillus* and Mucorales PCR are not combined (20).

Recently, a multiplex real-time PCR assay simultaneously targeting the whole *Aspergillus* genus and the whole Mucorales order, has been commercialized to overcome these issues (MycoGENIE *Aspergillus* spp./Mucorales spp. real-time PCR assay, Ademtech). However, with this approach, species or genus identification is not achievable, whereas it could be of interest for antifungal adaptation, especially in mucormycosis given that susceptibility patterns to azole drugs have been shown to be species or genus specific (21).

Here, we first aimed to evaluate analytical performances of the MycoGENIE *Aspergillus* spp./Mucorales spp. real-time PCR assay. In addition, we also aimed to set-up and evaluate a molecular workflow based on this multiplex PCR assay followed by a species-specific PCR or a DNA-sequencing approach, for the diagnosis of IA and mucormycosis and species identification, on serum.

MATERIALS AND METHODS

Study design and patients. The study was conducted in a single center at the Bordeaux University hospital (France) and was divided into two steps. The first step consisted in the determination of the analytical performances of the multiplex PCR assay, using a broad range of fungal strains. The second step focused on the clinical evaluation of the workflow using serum samples collected prospectively from patients at high risk for IMI, between September 2020 and August 2021. Cases were classified as proven or probable IMI according to the EORTC/MSGERC criteria (12), putative IA according to the AsplCU criteria for IA in intensive care unit patients (22), or COVID-19 Associated Pulmonary Aspergillosis (CAPA) according to the ECMM/ISHAM consensus criteria for severe COVID-19 patients (23). This study complies with the ethical and legal requirements of French law (April 15, 2019) and the Declaration of Helsinki. Written or verbal informed consent from all participants was not required because samples were collected through routine clinical work and patient identifiable information were anonymized prior to analysis.

Sample collection and processing. A panel of pure fungal strains obtained from clinical practice and accurately identified by MALDI-TOF mass spectrometry using the MSI-2 online platform (24) were used to determine analytical performances. Strains were harvested from Sabouraud agar culture plates, transferred into 800 μ L of lysis buffer (Roche), and submitted to ultrasonic lysis. DNA extraction was then performed on the MagNAPure Compact device (Roche), using 400 μ L of fungal lysate to an elution volume of 50 μ L. For the prospective step, all the sera sent to the parasitology mycology laboratory for the diagnosis of IMI during the study period were included. DNA extraction was performed routinely on the MagNAPure 96 device (Roche), using the Viral NA plasma extraction kit (Roche), from 1 mL of serum to an elution volume of 50 μ L. A positive (i.e., pool of negative sera spiked with *A. fumigatus* DNA [AmpliRun, Vircell]) and negative (nuclease-free water) controls were used during each run to validate the extraction step. DNA extracts were then stored at +4°C less than a week or at -20°C further.

Molecular workflow. (i) MycoGENIE PCR assays. PCR assays were performed on the LightCycler 480 system (Roche) using the MycoGENIE *Aspergillus* spp./Mucorales spp. real-time duplex PCR kit (Ademtech, Pessac, France), which simultaneous targets both the 28S rDNA regions of the *Aspergillus* genus and the Mucorales order as well as an internal PCR control. DNA extracts from positive and negative extraction controls were included in each run and served, respectively, as internal quality control and

negative PCR control. External positive controls provided by the manufacturer for each target were also included in each run. Amplifications were performed according to manufacturer's recommendations and amplification curves were analyzed on the LC480 software. Cycle threshold (Ct) values lower than 40 were considered positive results for both targets, according to manufacturer's instruction.

Serum samples screened positive for the *Aspergillus* spp. target were further assessed, testing the same DNA extract, with the MycoGENIE *Aspergillus fumigatus*/TR34/L98H specific PCR assay (Ademtech, Pessac, France), targeting specifically the species *A. fumigatus* and the *cyp51a* mutations TR34 and L98H, associated with azole resistance.

(ii) Mucorales DNA sequence-based identification. DNA extracts from samples screened positive for the *pan*-Mucorales target and fungal strains were submitted for Sanger DNA sequencing, targeting a part of the 18S rDNA. Briefly, the 18S rDNA fragment was amplified using the primers ZM1mo/ZM3mod as previously described (10 min of initial denaturation at 95°C, followed by 40 cycles of 15 s at 95°C, 30 s at 60°C, and 30 s at 72°C) (25). Amplicons and fragment size were then checked (from 170 to 190 pb) on the QIAxcel Advanced device (Qiagen), purified (HT ExoSAP-IT High-Throughput PCR Product Cleanup, Affymetrix) and submitted to a sequencing PCR using the BigDye Terminator v3.1 Cycle Sequencing Kit (Applied Biosystems). Finally, purified products were separated and analyzed on the Genetic Analyzer 3500XL Dx (Applied Biosystems). Negative and positive controls (i.e., nuclease-free water and DNA extract from a Mucorales strain, respectively) were used in each sequencing run.

Resulting DNA sequences were analyzed using the ChromasPro v1.7.1 software (Technelysium Pty Ltd.) and identified using the nucleotide Basic Local Alignment Search Tool (BLAST) from the National Center for Biotechnology Information (NCBI) (available at <https://blast.ncbi.nlm.nih.gov/Blast.cgi>), searching the curated 18S rRNA RefSeq database. According to the best identification percentages obtained, the final identification was given at the species level (only one species with the best identification percentage), the species complex level (two or more species belonging to same species complex with the same, or close, identification percentage) or the genus level (two or more species belonging to the same genus, but not to same species complex, with the same, or close, identification percentage). Mucorales species identification was also performed by establishing phylogenetic trees, including a broad range of Mucorales type-strains sequences, using MEGA X and iTOL v6 (<https://itol.embl.de>).

Statistical analysis. Statistical analyses were performed using Fischer's exact, Mann-Whitney, and Wilcoxon matched-pairs signed rank tests as appropriate (Prism 9 software). $P < 0.05$ (two-tailed) was considered statistically significant.

RESULTS

Analytical performances of the MycoGENIE *Aspergillus* spp./Mucorales spp. PCR assay. Limits of detection (LOD), using *A. fumigatus* and *Lichtheimia corymbifera* genomic DNA, were determined at 10 copies/mL for both targets. At LOD, mean Ct \pm standard deviation were 36.06 ± 0.31 and 35.56 ± 0.45 for the *Aspergillus* and Mucorales targets, respectively and 30.66 ± 0.063 and 31.61 ± 0.067 at $100\times$ LOD, respectively.

Regarding fungal strains, 45 species were assessed, including nine *Aspergillus* species, 10 Mucorales species, 19 fungal species causing bloodstream infection, and seven other molds (Table 1). All *Aspergillus* and Mucorales species were detected by the corresponding target. Cross reactions were observed for the *Aspergillus* spp. target with *Penicillium*, *Paecilomyces*, and *Purpureocillium* species, but not for the Mucorales target (Table 1).

Clinical evaluation on sera for IMI diagnosis. During the prospective step of the study, 2,392 serum samples from 744 patients at risk of IMI were sent to the parasitology mycology department for IMI diagnosis and screened by the MycoGENIE *Aspergillus* spp./Mucorales spp. PCR assay. Fifty-five patients were diagnosed as having an IMI, including 35 IA (two proven, 16 probable, three putative, and 14 CAPA), 16 mucormycosis (10 disseminated, three posttraumatic, and three digestive), and four *Aspergillus*/Mucorales coinfections (three probable and one putative IA coexisting with four mucormycosis). One patient had a mucormycosis relapse 4 months after the first episode. Mycological findings of IMI cases are listed in Tables 2 and 3.

Among the patients suffering from IA ($n = 39$), *Aspergillus* spp. PCR was positive in serum in only 25 patients (64.1%) (Table 2). However, the sensitivity varied greatly according to the IA classification. Indeed, the sensitivity in serum was significantly higher in patients with proven/probable/putative IA (21/25, 84%) than in CAPA patients (4/14, 28.5%) ($P = 0.0012$, Fischer's exact test). All positive sera were assessed for the MycoGENIE *Aspergillus fumigatus*/TR34/L98H specific PCR assay. All except three were also positive for the *A. fumigatus* target, allowing the identification of *A. fumigatus* as the causative IA agent in 22 patients. Interestingly, Ct values were significantly lower (median of 1.3 Ct) for the *A. fumigatus* target than the *Aspergillus* spp. target ($P < 0.001$, Wilcoxon matched-pairs signed rank test). Among the three *A. fumigatus* PCR-negative patients, two had a fungal culture positive to another

TABLE 1 Results of the MycoGENIE *Aspergillus* spp./Mucorales spp. multiplex PCR assay obtained on pure fungal strains

Strains	<i>Aspergillus</i> spp. target	Mucorales spp. target
<i>Aspergillus</i> genus		
<i>A. fumigatus</i>	+	–
<i>A. flavus</i>	+	–
<i>A. niger</i>	+	–
<i>A. welwitschiae</i>	+	–
<i>A. terreus</i>	+	–
<i>A. nidulans</i>	+	–
<i>A. sublatus</i>	+	–
<i>A. nishimurae</i>	+	–
<i>A. sydowii</i>	+	–
Mucorales order		
<i>Rhizopus arrhizus</i>	–	+
<i>Rhizopus microsporus</i>	–	+
<i>Rhizomucor pusillus</i>	–	+
<i>Mucor indicus</i>	–	+
<i>Mucor circinelloides</i>	–	+
<i>Lichtheimia corymbifera</i>	–	+
<i>Apophysomyces</i> sp.	–	+
<i>Cunninghamella</i> sp.	–	+
<i>Syncephalastrum</i> sp.	–	+
<i>Mycotypha</i> sp.	–	+
Other fungi causing bloodstream infection		
<i>Candida albicans</i>	–	–
<i>Candida glabrata</i>	–	–
<i>Candida auris</i>	–	–
<i>Candida parapsilosis</i>	–	–
<i>Candida tropicalis</i>	–	–
<i>Candida krusei</i>	–	–
<i>Cryptococcus neoformans</i>	–	–
<i>Saprochaete clavata</i>	–	–
<i>Magnusiomyces capitatus</i>	–	–
<i>Trichosporon inkin</i>	–	–
<i>Exophiala oligosperma</i>	–	–
<i>Exophiala spinifera</i>	–	–
<i>Fusarium verticilloides</i>	–	–
<i>Fusarium oxysporum</i>	–	–
<i>Fusarium dimerum</i>	–	–
<i>Fusarium falciforme</i>	–	–
<i>Fusarium proliferatum</i>	–	–
<i>Scedosporium apiospermum</i>	–	–
<i>Lomentospora prolificans</i>	–	–
Other environmental molds		
<i>Penicillium roqueforti</i>	+	–
<i>Paecilomyces variotii</i>	+	–
<i>Purpureocillium lilacinum</i>	+	–
<i>Acremonium sclerotigenum</i>	–	–
<i>Phaeoacremonium parasiticum</i>	–	–
<i>Sarocladium kiliense</i>	–	–
<i>Trichoderma</i> sp.	–	–

species (one *A. flavus* and one *A. nidulans*). Finally, one serum sample was positive for both TR34 and L98H targets, which was in accordance with antifungal susceptibility testing of the *A. fumigatus* isolate retrieved in BAL and showing *pan*-azoles resistance.

Regarding mucormycosis, the Mucorales spp. PCR was positive in serum in 16 of the 20 infected patients (80%), including the four *Aspergillus* coinfections (Table 3). Sensitivity in serum was 100% for the disseminated forms ($n = 14$), whereas it was only 33.3% in digestive or posttraumatic cutaneous localized forms ($n = 6$). In contrast,

TABLE 2 Mycological characteristics of the 39 patients with invasive aspergillosis^a

IA patients (n = 39)	Serum results		Other samples results		Mycological examination (DE and culture) ^c		
	IA classification	Aspergillus spp./A. fumigatus PCR (Ct)	GM antigen ^b	Sample type		Aspergillus spp./A. fumigatus PCR (Ct)	GM antigen ^b
P8 ^d	Probable IPA	35.7/34.5	<0.5	BAL	>40/>40	<0.5	Negative
P13	Proven IA	39.5/>40	<0.5	TA	>40/>40	<0.5	Negative
P16 ^d	Probable IPA	32.8/>40	<0.5	Cutaneous biopsy	39/>40	NA	Positive DE and culture (A. flavus)
P19 ^d	Probable IPA	29/28.6	3.4	BAL	NA/NA	NA	Negative DE/Positive culture (A. nidulans)
P20	Proven IPA	34.7/32	<0.5	BAL	>40/>40	<0.5	Negative
				BAL	33.4/40	3.07	Negative
				Pulmonary biopsy	37.6/36	NA	Negative DE/Positive culture (A. fumigatus)
P21 ^d	Putative IPA	36.3/36.3	1.01	BAL	30.7/29.2	9.98	Positive DE/Negative culture
P23	Probable IPA	33.4/33.6	<0.5	TA	23.6/22.6	NA	Negative DE/Positive culture (A. fumigatus + A. flavus)
P24	Probable IPA	33.6/30.8	0.87	BAL	31.6/30.5	5.19	Negative
P25	Probable IPA	33.7/32.7	4.50	Induce sputum	NA/NA	NA	Negative DE/Positive culture (A. fumigatus)
P26	Probable IPA	34/32.9	0.62	Induce sputum	NA/NA	NA	Negative DE/Positive culture (A. fumigatus)
P27	Probable IPA	34.2/33.2	0.69	BAL	34.7/34	0.73	Negative DE/Positive culture (A. fumigatus + A. welwitschiae)
P28	Probable IPA	35.5/31.9	<0.5	BAL	>40/>40	<0.5	Negative
P29	Probable IPA	36/34.2	9.57	NA	NA/NA	NA	NA
P30	Probable IPA	36/35.4	1.85	BAL	>40/>40	<0.5	Negative
P31	Probable IPA	38.9/36.4	0.67	BAL	36.9/35.8	9.6	Negative
P32	Probable IPA	36.6/34.5	<0.5	BAL	26.5/25.7	5.9	Negative DE/Positive culture (A. fumigatus)
P33	Probable IPA	36.8/>40	<0.5	BAL	>40/>40	<0.5	Negative
P34	Probable IPA	37.9/36.2	<0.5	BAL	34.3/32.2	3.02	Negative
P35	Probable IPA	37.1/35.9	<0.5	BAL	37.2/34.9	<0.5	Negative DE/Positive culture (A. fumigatus)
P36	Probable IPA	>40/NA	<0.5	BAL	34.3/NA	NA	Negative DE/Positive culture (A. fumigatus)
P37	Probable IPA	>40/NA	<0.5	BAL	34.7/NA	<0.5	Negative DE/Positive culture (A. fumigatus)
P38	Probable IPA	>40/>40	<0.5	BAL	35.5/33.6	0.58	Negative
				Pleural fluid	33/30.8	NA	Negative
P39	Putative IPA	34.2/33.2	9.05	BAL	29.4/NA	11.1	Positive DE and culture (A. fumigatus)
P40	Putative IPA	34.6/33.2	<0.5	BAL	34.2/32.8	1.76	Positive DE and culture (A. fumigatus)
P41	Putative IPA	>40/>40	<0.5	BAL	28.9/NA	2.34	Positive DE and culture (A. fumigatus)
P42	CAPA	33/30.9	1.21	BAL	29/28.1	5.26	Positive DE and culture (A. fumigatus + A. citrinoterreus)
P43	CAPA	33.4/32.2	2.54	TA	NA/NA	NA	Negative DE/Positive culture (A. fumigatus)
P44	CAPA	33.6/31.9	0.52	NA	NA/NA	NA	NA
P45	CAPA	33.7/34.1	0.51	TA	NA/NA	NA	Positive DE and culture (A. fumigatus)
P46	CAPA	>40/>40	<0.5	BAL	33.5/33.5	<0.5	Negative DE/Positive culture (A. fumigatus)
P47	CAPA	>40/>40	<0.5	BAL	35.2/NA	2.42	Negative DE/Positive culture (A. fumigatus)
P48	CAPA	>40/>40	<0.5	BAL	31.6/30.6	3.75	Negative DE/Positive culture (A. fumigatus)
P49	CAPA	>40/>40	<0.5	BAL	32.5/30	1.61	Negative DE/Positive culture (A. fumigatus)
P50	CAPA	>40/>40	<0.5	BAL	33.6/30.5	1.36	Negative DE/Positive culture (A. fumigatus + A. terreus)
P51	CAPA	>40/>40	<0.5	BAL	33.2/30.7	0.68	Negative
P52	CAPA	>40/>40	<0.5	BAL	33.9/31.2	<0.5	Negative DE/Positive culture (A. fumigatus)
P53	CAPA	>40/>40	<0.5	BAL	33.2/NA	<0.5	Positive DE and culture (A. fumigatus + A. felis)
P54	CAPA	>40/>40	<0.5	BAL	27.6/>40	<0.5	Negative
P55	CAPA	>40/>40	<0.5	TA	29.9/28.4	NA	Negative DE/Positive culture (A. fumigatus)

^aIA, invasive aspergillosis; IPA, invasive pulmonary aspergillosis; CAPA, COVID-19 associated pulmonary aspergillosis; BAL, bronchioalveolar lavage; TA, tracheal aspirate; GM, galactomannan; DE, direct examination; NA, not available.

^bGM antigen was detected using the Platelia Aspergillus Ag assay (Bio-Rad, France), according to manufacturer's instruction.

^cFungal culture was performed on Sabouraud Chloramphenicol Gentamicin tube (Bio-Rad, France) incubated at 30°C during 21 days.

^dIndicates patients with Aspergillus/Mucorales coinfection.

TABLE 3 Mycological characteristics of the 20 patients with mucormycosis^a

Patients (n = 20)	Serum		Other samples				
	Mucormycosis classification	Mucorales PCR (Ct)	DNA Sequencing	Sample type	Mucorales PCR (Ct)	DNA Sequencing	Mycological examination (DE and culture) ^b
P1	Disseminated	30	<i>Rh. pusillus</i>	BAL	35.3	<i>Rh. pusillus</i>	Negative
P2	Disseminated	29.6	<i>Rh. pusillus</i>	NA	NA	NA	NA
P3	Disseminated	32.8	NA	NA	NA	NA	NA
P4	Disseminated	32.3	<i>R. microsporus complex</i>	NA	NA	NA	NA
P5	Digestive	>40	NA	BAL	>40	NA	Negative
				Stool	35	Failed	Negative DE/Positive culture (<i>Syncephalastrum racemosum</i>)
P6	Digestive	33.3	<i>Lichtheimia sp.</i>	BAL	>40	NA	Negative
				Stool	36.1	Failed	Negative
P7	Disseminated	34.4	<i>Rh. pusillus</i>	BAL	31.7	<i>Rh. pusillus</i>	Negative
P8 ^c	Disseminated	28.7	<i>Rh. pusillus</i>	BAL	>40	NA	Negative
P9	Disseminated	28.9	<i>Rh. pusillus</i>	BAL	30.2	<i>Rh. pusillus</i>	Positive DE/Negative culture
				Pericardial fluid	35.7	<i>Rh. pusillus</i>	Negative
P10	Digestive	>40	NA	BAL	>40	NA	Negative
				Stool	38.6	Failed	Positive DE and culture (<i>M. circinelloides</i>)
P11	Posttraumatic	32.9	<i>Mucor circinelloides complex</i>	Cutaneous biopsy	32	<i>Mucor circinelloides complex</i>	Negative DE/Positive culture (<i>M. circinelloides</i>)
P12	Disseminated	33.1	<i>R. microsporus complex</i>	BAL	25.7	<i>R. microsporus complex</i>	Negative DE/Positive culture (<i>R. microsporus</i>)
P14	Posttraumatic	>40	NA	Cutaneous biopsy	32.3	<i>Mucor circinelloides complex</i>	Negative DE/Positive culture (<i>M. circinelloides</i>)
P15	Disseminated	30.1	<i>Rh. pusillus</i>	BAL	32.9	<i>Rh. pusillus</i>	Negative
P16 ^c	Disseminated	36.9	<i>R. microsporus complex</i>	BAL	35.1	<i>R. microsporus complex</i>	Negative
P17	Posttraumatic	>40	NA	Cutaneous biopsy	34.5	<i>Rh. pusillus</i>	Negative
P18 ^d	Disseminated	33	<i>Cunninghamella sp.</i>	BAL	31.7	<i>Cunninghamella sp.</i>	Negative
				Pulmonary biopsy	NA	NA	Positive DE/Negative culture
P19 ^c	Disseminated	31.8	<i>Rh. pusillus</i>	BAL	>40	NA	Negative
P21 ^c	Disseminated	26.9	<i>Rh. pusillus</i>	BAL	>40	NA	Negative
P22	Disseminated	31.7	<i>Cunninghamella sp.</i>	BAL	19.4	<i>Cunninghamella sp.</i>	Positive DE and culture (<i>Cunninghamella elegans</i>)

^aBAL, Bronchoalveolar lavage; DE, direct examination; NA, not available.

^bFungal culture was performed on Sabouraud Chloramphenicol Gentamicin tube (Bio-Rad, France) incubated at 30°C during 21 days.

^cIndicates patients with *Aspergillus*/Mucorales coinfection.

^dIndicates the patient with a mucormycosis relapse.

TABLE 4 Performances of the MycoGENIE *Aspergillus* spp./Mucorales spp. multiplex PCR assay for the diagnosis of invasive aspergillosis, mucormycosis, or both infections^a

PCR targets	Sensitivity % (95% IC)	Specificity % (95% IC)	PPV % (95% IC)	NPV % (95% IC)	LR+ (95% IC)	LR- (95% IC)	DOR (95% IC)
<i>Aspergillus</i> target	64.1 (48.4 to 77.3)	98.6 (97.4 to 99.2)	71.4 (55 to 83.7)	98 (96.7 to 98.8)	45.19 (18.69 to 100.3)	0.364 (0.23 to 0.53)	124.1 (47.72 to 280.4)
Mucorales target	80 (58.4 to 91.9)	99.5 (98.6 to 99.8)	80 (58.4 to 91.9)	99.5 (98.6 to 99.8)	144.8 (41.42 to 417.9)	0.201 (0.08 to 0.42)	720 (153.7 to 2723)
Combined target	67.3 (54.1 to 78.2)	97.8 (96.6 to 98.8)	72.6 (59.1 to 82.9)	97.4 (95.9 to 98.4)	33.11 (16 to 64.62)	0.334 (0.22 to 0.48)	99.11 (45.42 to 214.7)

^aIC, interval confidence; PPV, positive predictive value; NPV, negative predictive value; LR+, positive likelihood ratio; LR-, negative likelihood ratio; DOR, diagnostic odds ratio.

fungal culture was positive in only six patients overall (30%), but on four of the six localized forms (66.7%).

Finally, among patients in whom IMI diagnosis was excluded ($n = 684$), the PCR assay was positive for the *Aspergillus* spp. and the Mucorales targets, in 10 and four patients, respectively. Interestingly, these patients exhibited significantly later Ct values than true positive patients for the *Aspergillus* target (mean of 37.1 versus 35.7 for true positive patients, $P = 0.042$, Mann-Whitney test) and for the Mucorales target (mean of 35.7 versus 33.2 for true positive patients, $P = 0.039$, Mann-Whitney test). Positive Ct values in no IMI patients were systematically > 35 and the positive result was not confirmed by complementary analyses. Diagnosis performances of the MycoGENIE *Aspergillus*/Mucorales assay are summarized in Table 4.

Mucorales species identification on serum. Twenty-four sera positive for the Mucorales PCR target were submitted to DNA sequencing for species identification. They originated from 15 of the 20 patients with mucormycosis and three of the four patients in whom the diagnosis of mucormycosis was excluded. Species or genus identification was achievable for the 15 patients with mucormycosis (21 samples), resulting in eight *Rhizomucor pusillus*, three *Rhizopus microsporus* complex, two *Cunninghamella* sp., one *Mucor circinelloides* complex, and one *Lichtheimia* sp. (Fig. 1). For the three patients in whom the diagnosis of mucormycosis was ruled out, the attempted sequencing assay failed suggesting a false positive result of the Mucorales spp. PCR assay.

DISCUSSION

In the present study, we evaluated the performances of the MycoGENIE *Aspergillus* spp./Mucorales spp. multiplex PCR assay. We also evaluated its performances on serum in the diagnostic strategy of IA and mucormycosis, in combination with a species-specific PCR or a DNA-sequencing approach for species identification. This multiplex PCR assay showed a high analytical sensitivity and allowed a broad detection of *Aspergillus* and Mucorales species (Table 1). Interestingly, these included rare species already involved in IMI (such as *A. sublatius*, *A. welwitschiae*, or *A. sydowii* for IA, and *Apophysomyces* spp. or *Syncephalastrum* spp. for mucormycosis), but that were not included in other available commercial or in-house PCR assays (10, 26). Therefore, this multiplex PCR assay may help to improve the diagnosis of these rare infections. Moreover, no cross-reactions nor competition were observed between the two targets, while true *Aspergillus*/Mucorales clinical coinfections were identified, demonstrating the potential of this PCR assay to detect mixed infections. No cross-reactions with other genera were observed for the Mucorales target, whereas the *Aspergillus* target cross-reacted with the genera *Penicillium*, *Purpureocillium*, and *Paecilomyces*. This result was not unexpected as these three genera are highly close taxonomically to the genus *Aspergillus* and it is a consequence of the necessary lowering analytical specificity required to detect the whole *Aspergillus* genus (27). Cross-reactions with these three genera should not be an issue in serum samples whereas results obtained in respiratory samples must be interpreted with caution. Indeed, these three genera are environmental molds that can be found fortuitously in the respiratory tract, especially in patients suffering from a chronic respiratory disease (28).

The prospective clinical evaluation on sera in high-risk IMI patients showed a high specificity for both targets (Table 4), even if Ct values above 35 should be interpreted with caution. Indeed, false-positive results were systematically > 35 Ct, whereas true positive results varied across this cutoff (especially in beginning infection or after the

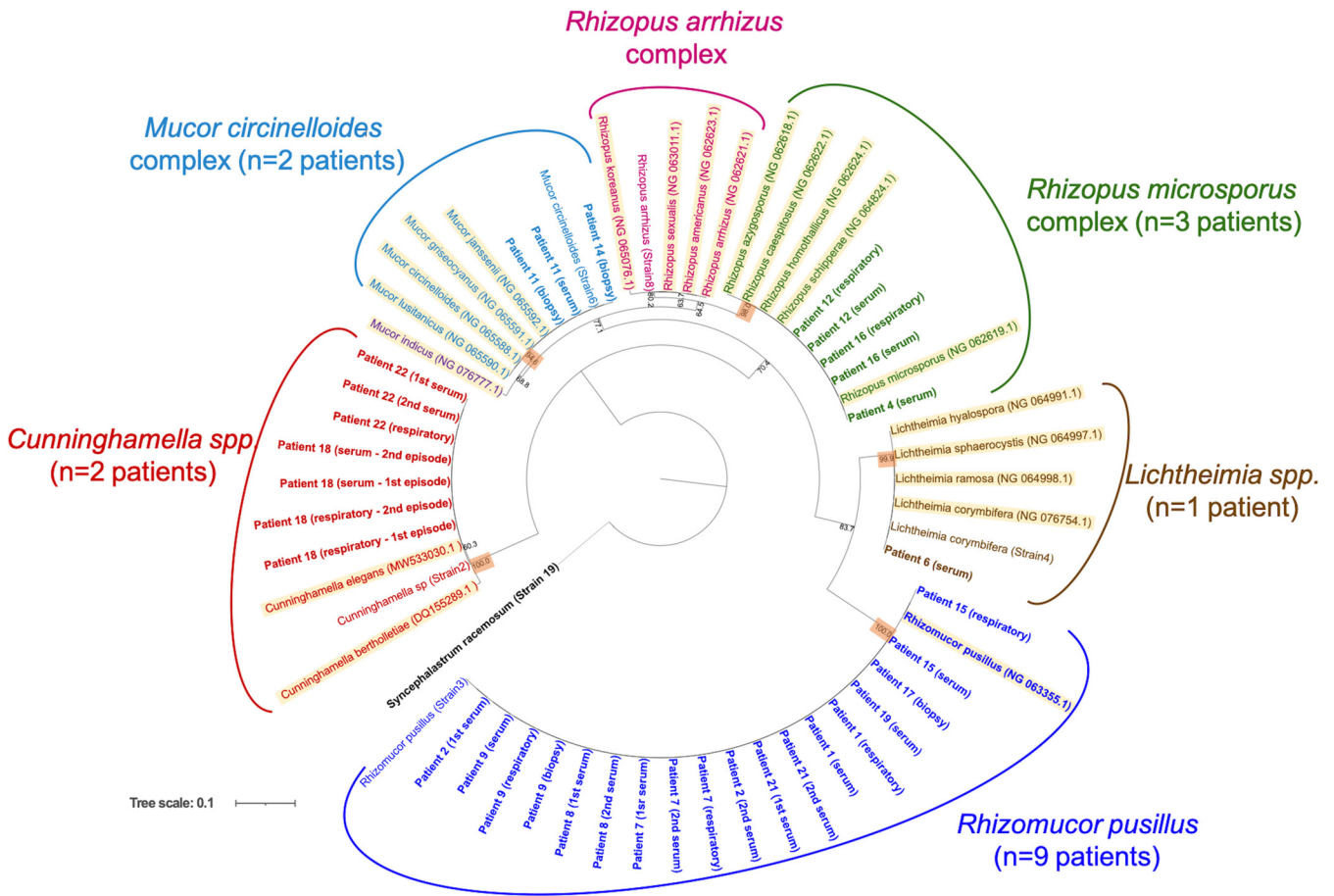


FIG 1 Maximum-likelihood phylogenetic tree obtained from the analysis of Mucorales 18S rRNA partial sequences. Data set includes sequences (i) from 17 patients positive for the Mycogenie Mucorales target in serum, in respiratory sample or in biopsy; (ii) from six Mucorales strains obtained from clinical practice and (iii) from 21 Mucorales type strains. *Syncephalastrum racemosum* was used as an outgroup. Numbers above the nodes correspond to bootstrapping value generated from 1,000 replicates. Only values above 60% are indicated. Patients are represented in bold as follows: patient number (sample type +/-episode). "Respiratory" means respiratory sample such as bronchio-alveolar lavage, bronchial aspiration, or induce sputum. "Biopsy" means deep-seated or cutaneous biopsies. Type strains are highlighted as follows: species name (NCBI accession number).

initiation of targeted antifungal therapy). In contrast, earlier Ct values (<35) in serum are highly suggestive of an active infection.

Regarding the *Aspergillus* target, sensitivity in serum was relatively low (64.1%) overall. However, the IA population in this study was composed of a high number of CAPA patients ($n = 14/39$) in whom molecular and antigenic biomarkers have shown a low sensitivity in serum (29). Regarding only proven and probable IA ($n = 21$), the sensitivity in serum raised up to 85.7%, which is consistent with previous reports (7). Combination with the species-specific *A. fumigatus* PCR assay for *Aspergillus* spp. positive sera showed also its importance to confirm specificity. Indeed, the 10 patients with a false-positive *Aspergillus* spp. PCR in serum were all negative for the *A. fumigatus* target. In contrast, 22 of the 25 patients with a true IA were positive for both targets. This PCR combination in sera also allowed to identify the species involved in IA (*A. fumigatus*) in most cases ($n = 22$), even in the absence of a positive fungal culture ($n = 10$) (Table 2). Finally, as the *A. fumigatus* assay exhibited significant lower Ct values than the *Aspergillus* spp. PCR, using this species-specific PCR may be of interest in case of very high suspected IA with a negative *Aspergillus* spp. PCR.

Regarding the *pan*-Mucorales PCR target, sensitivity in serum for the diagnosis of mucormycosis was high (80%), as recently reported in a multicenter study with another Mucorales PCR assay (10). All the disseminated cases ($n = 14$) were positive in serum, whereas sensitivity was decreased (33.3%) in more localized forms, as previously reported for cutaneous mucormycosis (16). Thanks to this PCR assay, we identified a

higher number of mucormycosis cases than with conventional mycological methods (direct examination and/or fungal culture). Indeed, among the 20 mucormycosis cases, only eight were positive by conventional mycological methods (Table 3), whereas PCR in serum was positive in 16 patients. These latter included 11 PCR-positive only patients, in whom the diagnosis of mucormycosis may be discussed, as Mucorales PCR is not yet included in diagnosis criteria. However, among these, eight patients exhibited at least two consecutive positive sera, including four patients also positive by PCR in a respiratory sample or deep biopsy, strengthening so the mucormycosis diagnosis. DNA sequencing performed on Mucorales PCR-positive samples corroborates the specificity of the assay by identifying a broad range of Mucorales species, even for the four *Aspergillus* coinfections. Interestingly, in patients with multiple PCR-positive sera and/or another PCR-positive site (e.g., respiratory sample or biopsy), identifications were concordant between samples (Fig. 1; Table 3). In patients with positive culture and PCR ($n = 3$), molecular identification on serum was also concordant with the fungal culture identification (Table 3). Finally, in the patient having suffered from a relapse 4 months later (patient 18, Fig. 1), the same species (*Cunninghamella* spp.) was identified in both episodes in sera and respiratory samples. Thus, this DNA-sequencing approach greatly improved the identification of the etiologic agent of mucormycosis in comparison to fungal culture and brings new insights in mucormycosis epidemiology. Moreover, it could help for antifungal adaptation as *in vitro* azole susceptibility has been shown to be genus/species dependent (21). Interestingly, the most prevalent species we identified in sera was *Rh. pusillus* (eight infections), whereas this species is barely found in culture (none in this study). Reagent contamination was excluded by the use of negative controls during each molecular step. A similar finding was reported in a recent study (10), suggesting an underestimation of this hard-to-grow and highly thermophilic species in mucormycosis. This could be particularly true in very immunocompromised patients in onco-hematology wards, as *Rh. pusillus* was initially described as less pathogenic than other Mucorales species (30).

In conclusion, this multiplex *Aspergillus* spp./Mucorales spp. PCR assay followed by sequential specific PCR and/or DNA sequencing is a promising workflow in serum for IMI diagnosis and species identification in high-risk patients. This study also underlines the need to associate mycological examination of the suspected infection site by conventional and/or molecular methods, as serum may lack sensitivity in some situations such as CAPA or cutaneous mucormycosis. Using this combination and workflow, almost all etiologic agents were identified, including in cases of *Aspergillus*/Mucorales coinfections which may improve therapeutic management.

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Data (DNA sequences) can be obtained freely by contacting the corresponding author.

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