

# Clinical Trial Design for Mould-active Agents: Time to Break the Mold

*Aspergillosis in Neutropenic Patients*

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# Lecture Outline



- PET/CT: Show & Tell
- A Patient with Aspergillosis
- Immunity confounds assessment
- Aspergillus Galactomannan
  - For diagnosis
  - For outcome assessment

# FDG-PET Scan For Diagnosing Infection

176 episodes, 153 patients

## Various Sites:

- Respiratory (106): Pneumonia (99); Sinusitis (7)
- Vascular (21): Septic phlebitis (13); Implanted CVL (8)
- Discitis/ osteomyelitis/septic arthritis: (21) Cellulitis (6 )
- Periodontal abscess (10)
- Gastrointestinal (9): colitis (8), abscess (3) esophagitis (1)

## Different Pathogens:

Bacteria 41, fungi 15 (IA), *P. carinii*: 2, viruses 2, mycob 2

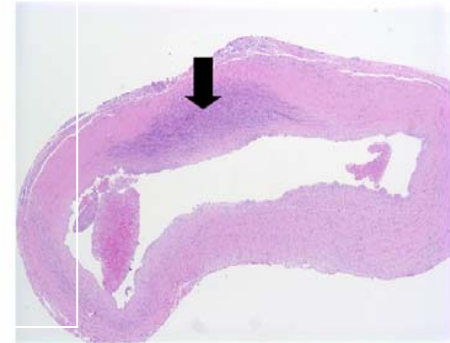
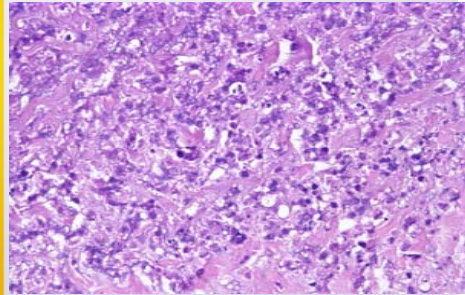
## Regardless of Immune Status:

Effective in severe immunosuppression: 37, (20%)

**Clinically contributory** in 84 patients (55%)

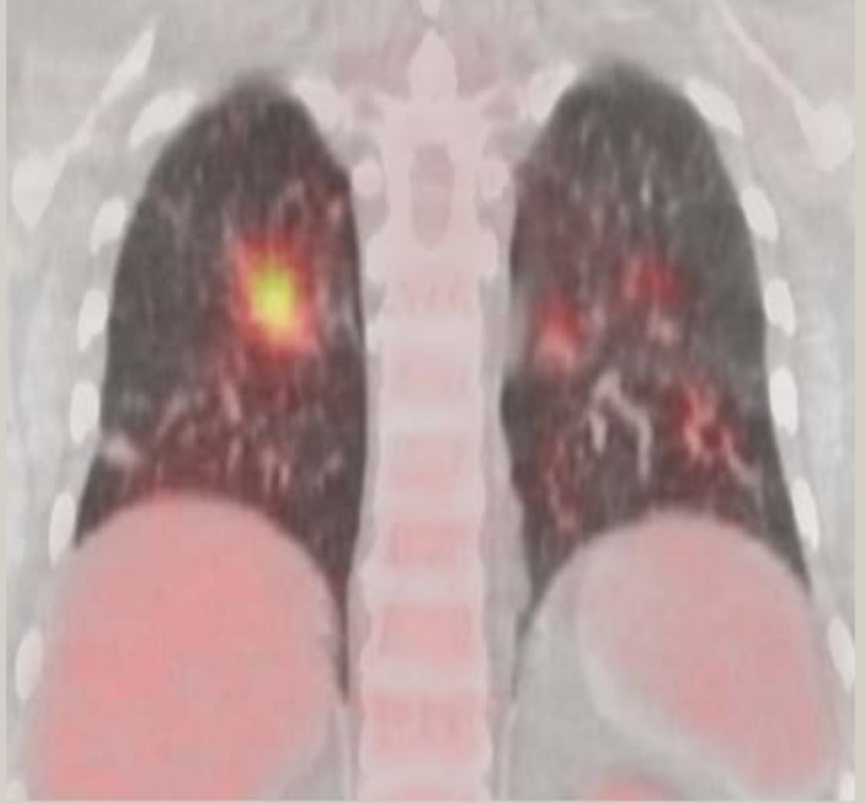
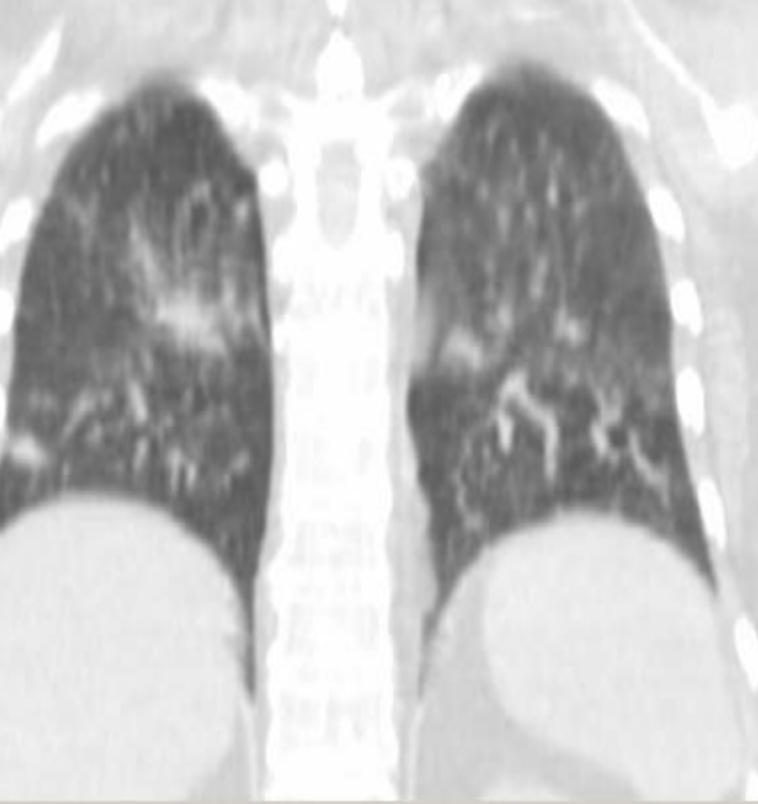
20 silent infections detected on PET for Ca staging

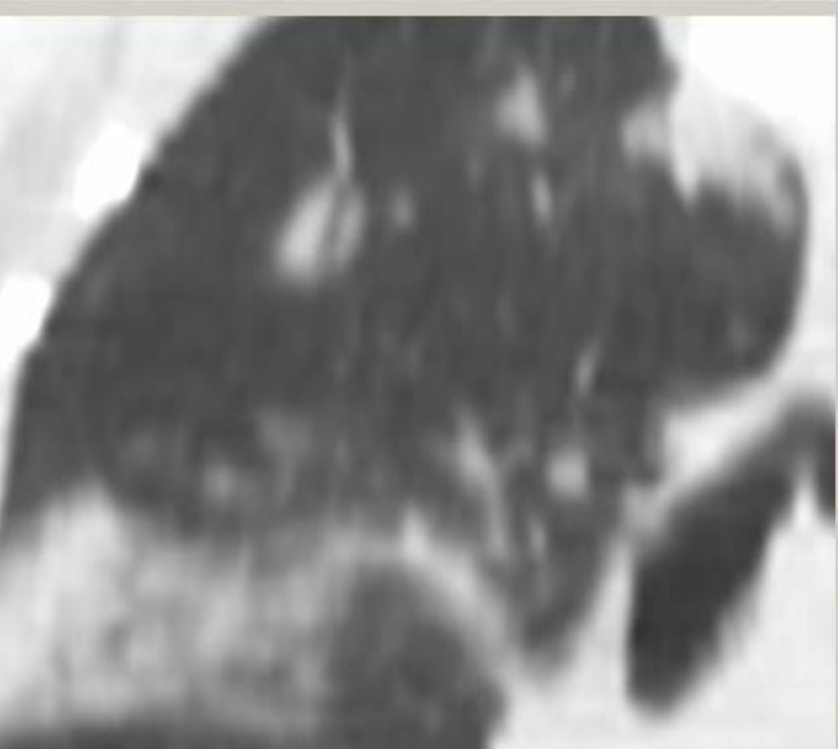
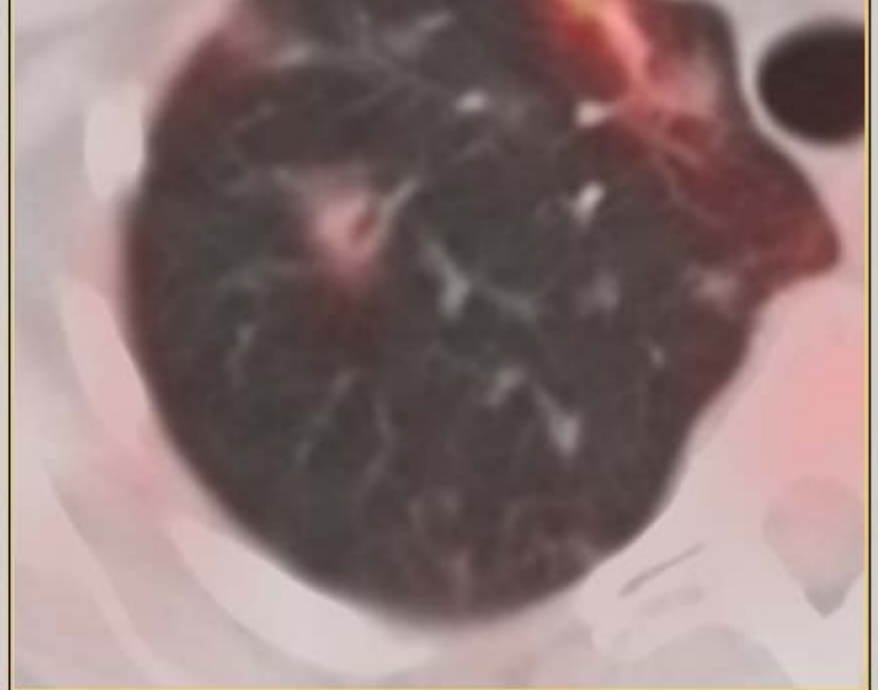
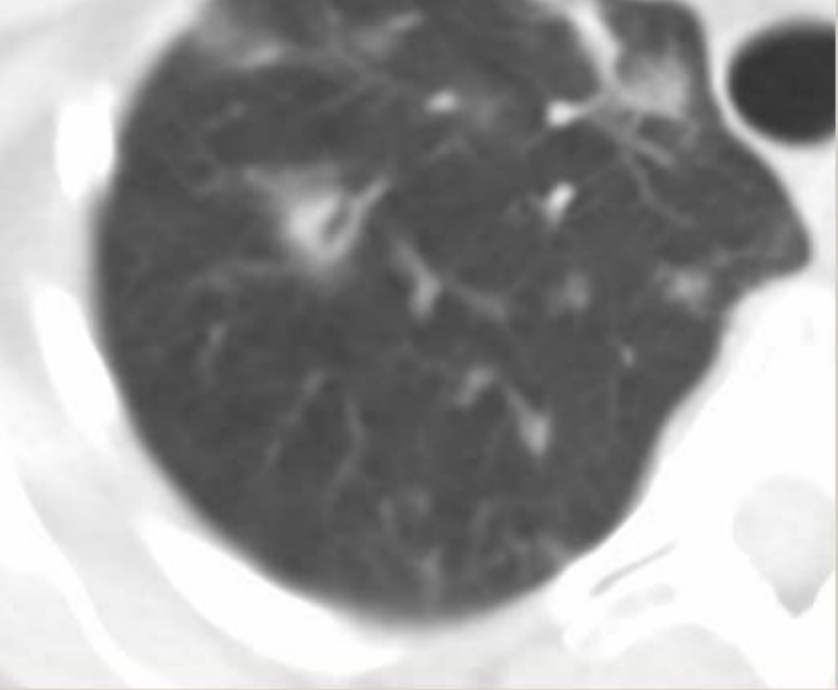
# Septic Thrombophlebitis

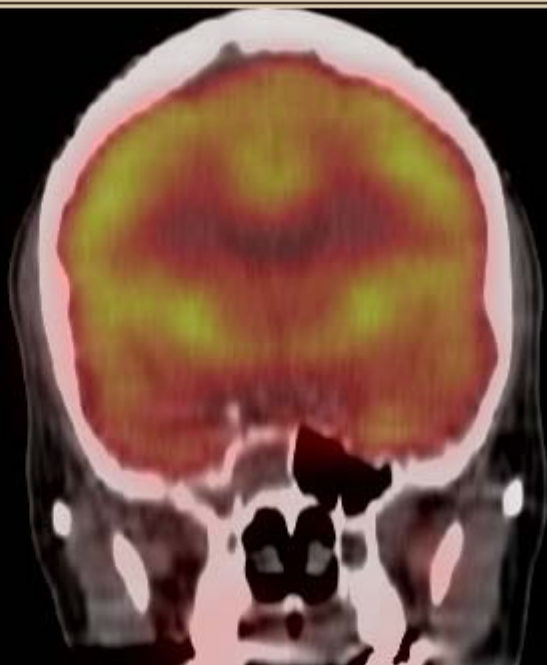


Miceli M, J Clin Oncol 22 (8) ; 1529-1531; 2004  
Miceli M, Nucl Med Comm (8); 813-818, 2004  
Miceli M, J Clin Oncol 22; 1949; 2004



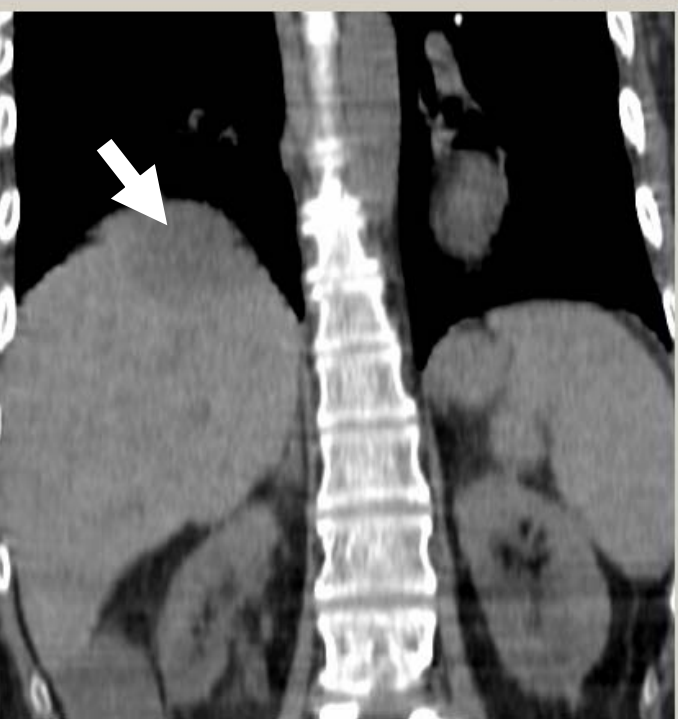
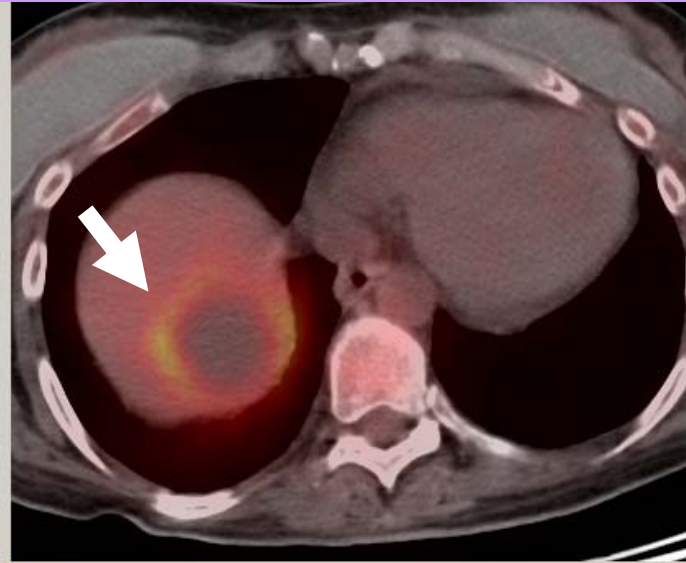
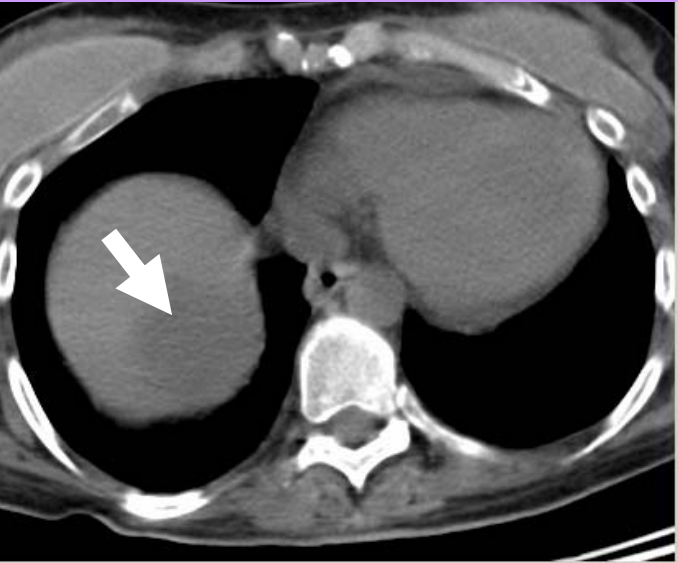








# FUO. Non-neutropenic. Normal LFTs.





# A Patient with Aspergillosis

68 y.o male, MYELOMA

8/29/05:

Auto-Tx; fluco prophylaxis

9/2: ANC <100.

Fever, CT chest (-)

9/7: (+) GMI (x3 up to 6.0)

9/9: ANC >1000

Sputum (+) *A. fumigatus*

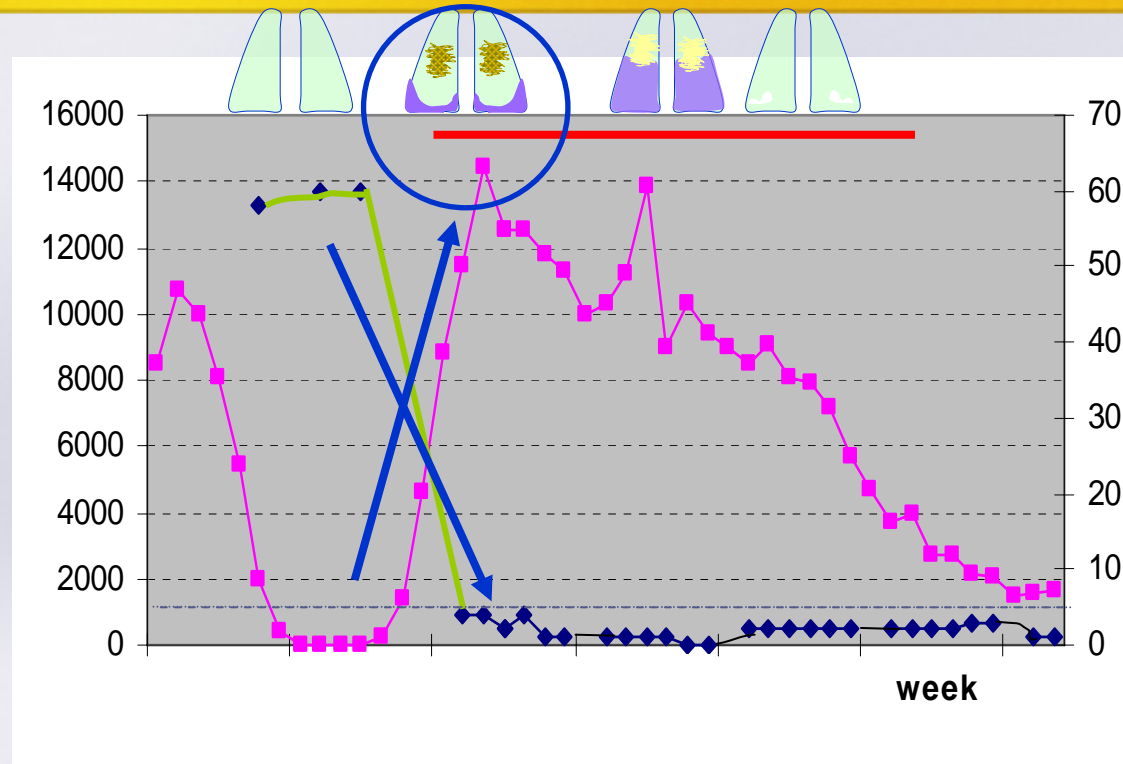
Ambisome

9/10: GMI (-)

9/11: ↑SOB, O<sub>2</sub>↓ → ICUCT: bil infiltr, nodules

Management: Methylprednisone 1 mg/kg BID (9/11-13), Ambisome

Outcome: CR; CT (-); repeatedly (-) GMI; Alive and well 28 mo. later



# Immune Reconstitution and Inflammatory Syndrome (IRIS)

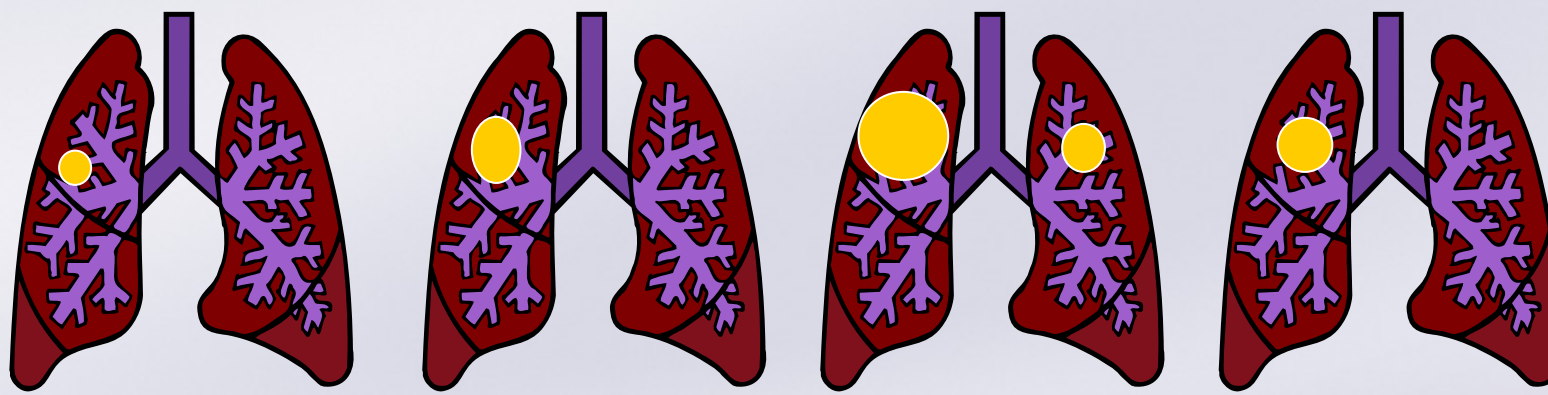
The restored ability to mount an inflammatory response against the antigens of an existing opportunistic infection



TB Abscess as part of IRIS In HIV (+) patient

# It Gets Worse Before it Gets Better IRIS in Aspergillosis

25 Neutropenic patients with tissue-proven IPA



Day

$\text{Cm}^3$

PMN med

**Refractory**

0

0

930

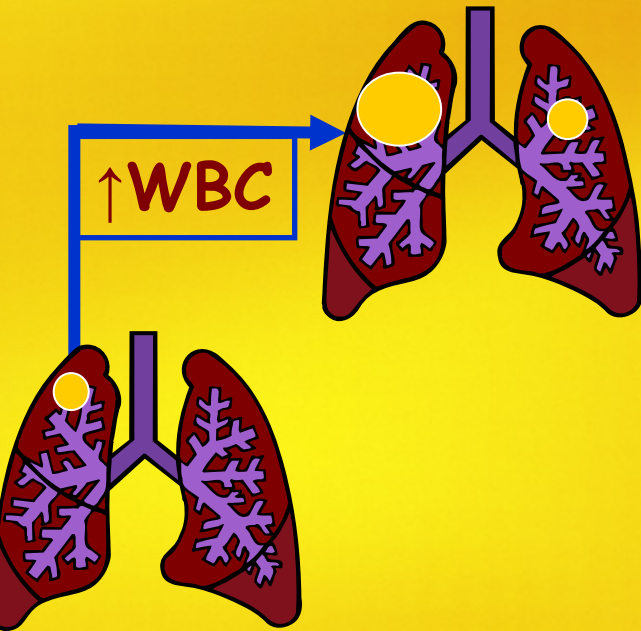
14

34

normal

**84% resolved**

# Immunity Confounds Outcome: P-IRIS



## P-IRIS in Aspergillosis

- 19 Hem. ca. (04-06), neutropenia
- $\geq 2$  consecutive (+) GM (OD  $\geq 0.5$ )
- Aspergillosis (EORTC/MSG)
  
- Clinical/radiologic deterioration with
  - Neutrophil recovery and
  - Microbiologic response: normal GMI
  
- Complete response, survival at 3 mo
  - Same antifungal therapy
  - Addition of steroids in 2 pts.
  
- Implications:  
Serial GM testing to guide management



# Assessing Aspergillosis Response: Difficult

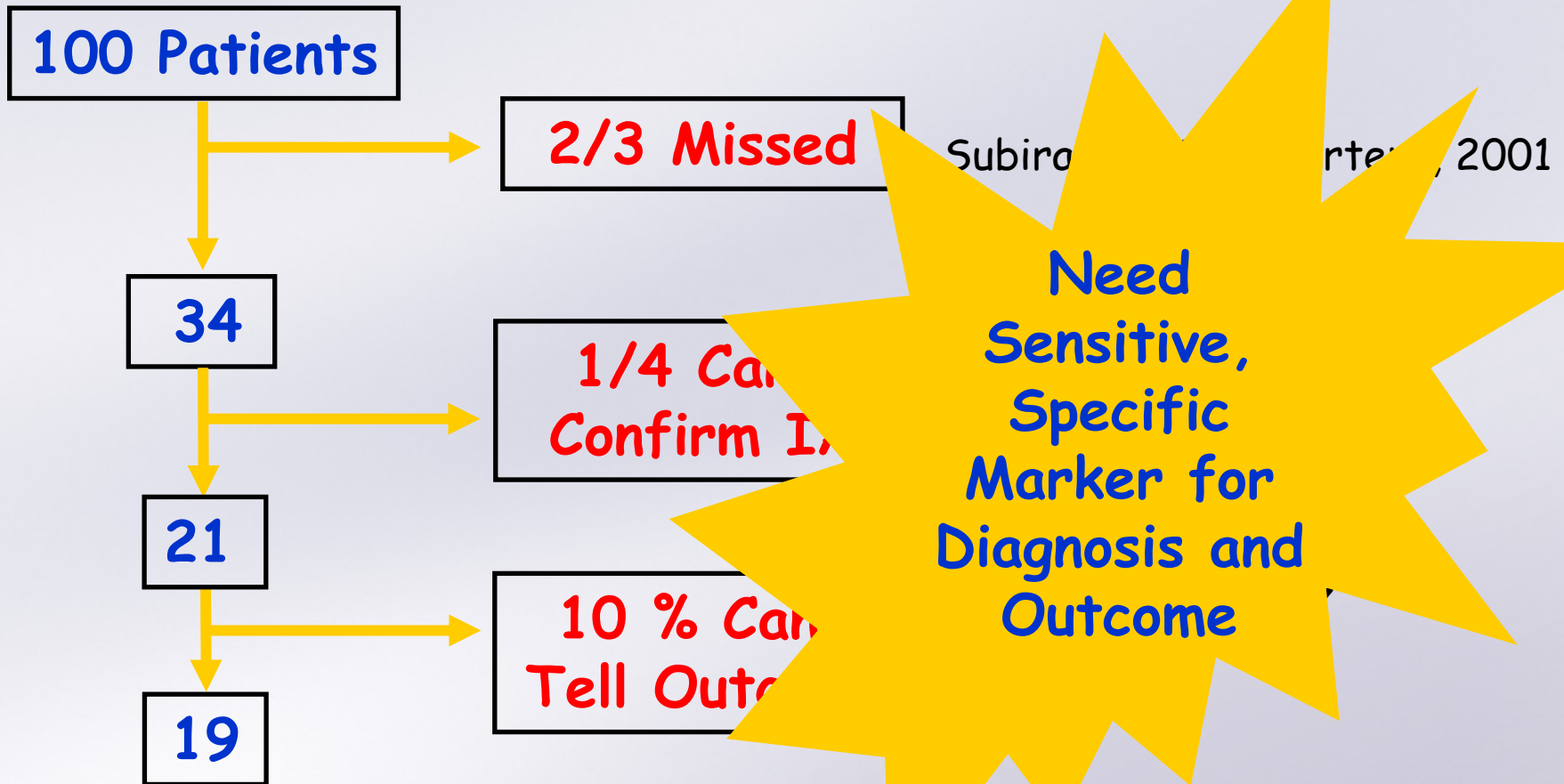
	CR	PR	Stable Dz	Failure	Indt*
<b>Clinical:</b> attributable signs & symptoms	Good	Improved	Stable	Worse s&s or	Can't tell
<b>Radiological:</b> attributable abnormalities	Good	Improved	Stable	Worse radiological or	Can't tell
<b>Mycological:</b> eradication	Good	Improved	Stable	(+) histology or culture	Can't tell

**Need Sensitive and Specific Marker for Outcome**



• Unable to assess response: inadequate diagnostic evaluation, conflicting clinical, radiologic or mycologic data (P-IRIS) or presence of other factors such as other infection, GvHD, etc..

# Diagnosing Aspergillosis: even more Difficult with Serious Impact on Clinical Trials

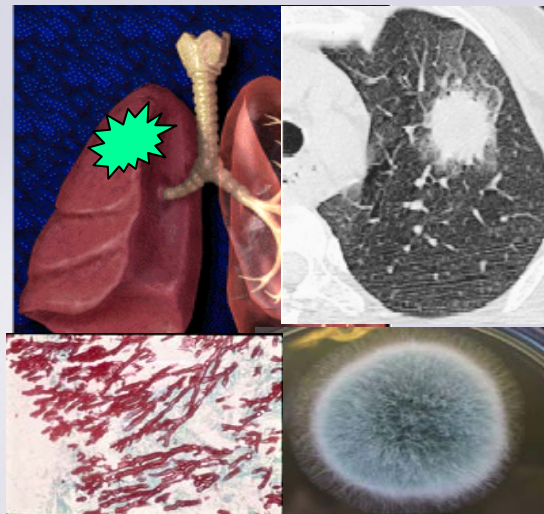


# Galactomannan Index Improves Diagnosis (I)

## GMI vs. Clinical/Radiologic Diagnosis

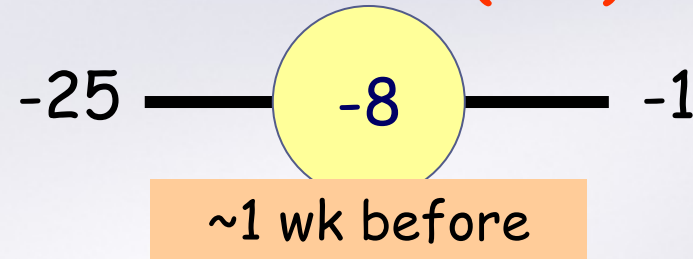


Clinical Diagnosis



BEFORE: 31/48 (65%)

AFTER:  
12/48 (25%)



SIMULTANEOUS:  
5 /48 (10%)

## GMI Improves Diagnosis (II)

Cut off	0.5	0.6	0.7	0.8	0.9	1.0	1.5	2 x 0.5
Sensitivity	97.4	92.1	92.1	86.8	84.2	81.6	76.3	92.1
Specificity	90.5	93.0	94.5	95.5	96.5	96.5	97.5	97.5
PPV	66.1	71.4	78.6	78.6	82	81.6	85.3	87.5
NPV	99.4	98.4	97.5	97.5	97	96.5	95.6	98.5



# Serum GMI and Outcome

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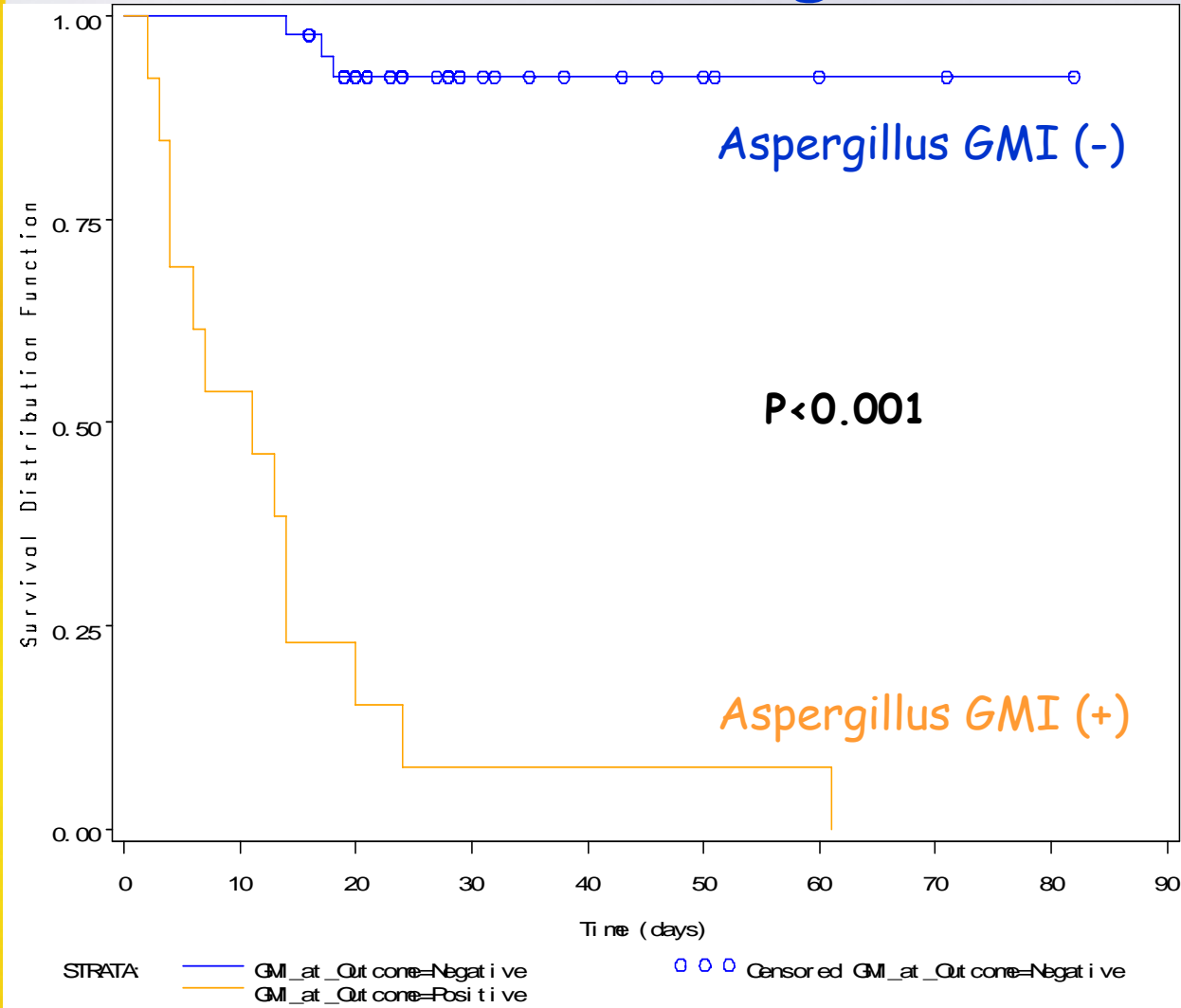
## A Very Strong Correlation

### Serum Aspergillus Galactomannan

- Improves Outcome Assessment of IA
- Qualifies as a Surrogate Endpoint

# GMI Predicts Outcome and Survival

## Survival of 56 pts with Hem. Ca and IA according to GMI



# Serum GMI, a "Validated" Surrogate Endpoint Using Stringiest Criteria (I)

## Biological Plausibility

In causal chain of disease, in proximity to clinical endpoint



## Outcome Prediction

Captures net effect of intervention on clinical outcome



Consistently sensitive to effects of the intervention



Predicts clinical outcome: changes in mechanistically compatible direction, rate, temporal sequence



*Experimental:* Quantitative and qualitative concordance between GMI and survival, histopathology and microbiology. Effects present in different species and sizes (rat, mouse, guinea pig, rabbit, dog)



*Clinical trials:* Strong concordance with outcome (KCC)



Validated in trials for a specific disease and population



# Serum GMI, a "Validated" Surrogate Endpoint Using Stringiest Criteria (II)

## Good Test Attributes

Standardized, quantifiable, reproducible, non-invasive



Short latency to observation of effects



Generic: Tracks all therapies equally (all classes) \*



Representative of disease burden



Dichotomous and quantitative



Valid for all species/ infection sites



Anaissie E, Clin Infect Dis 2007

\* Paradoxical effect with echinocandins: not so paradoxical after all

Miceli M, Anaissie E, Clin Infect. Dis 2007



# Validating Surrogates

*Correlation  
Concordance*

 = concordant

 = discordant

John H. Powers, MD





*Lead Medical Officer*

*Antimicrobial Drug*

*Development and*

*Resistance Initiatives*

[www.FDA.gov](http://www.FDA.gov)

	Surrogate success	Surrogate failure
Clinical success		
Clinical failure		

**Kappa coefficient of correlation**

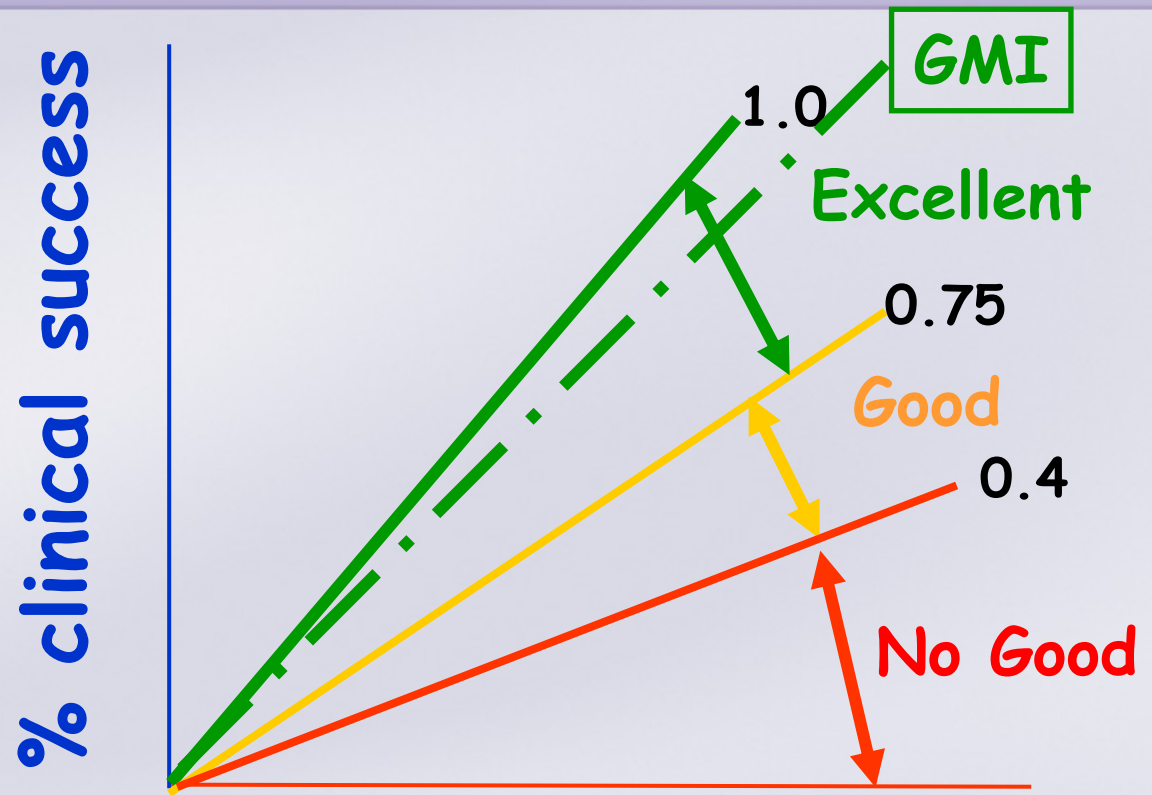
$0 \leq \bar{k} < 0.4$  = marginal (or no) agreement

$0.4 \leq \bar{k} < 0.75$  = good agreement

$\bar{k} \geq 0.75$  = excellent agreement

# Validating Surrogates

*Correlation*  
*Concordance*  
*Lit Review*



**% success with surrogate**

Serum GMI vs. Aspergillosis  
Literature review: 1994-2007

257 Pts: **KCC 0.86**

Woods G et al, Cancer 2007

Miceli M, et al Clin Inf Dis march 2008

# Validating Surrogates Correlation Concordance

Hem. Cancer

Aspergillosis

≥ 2 cons. (+) GM

Serial Testing

Arkansas Experience

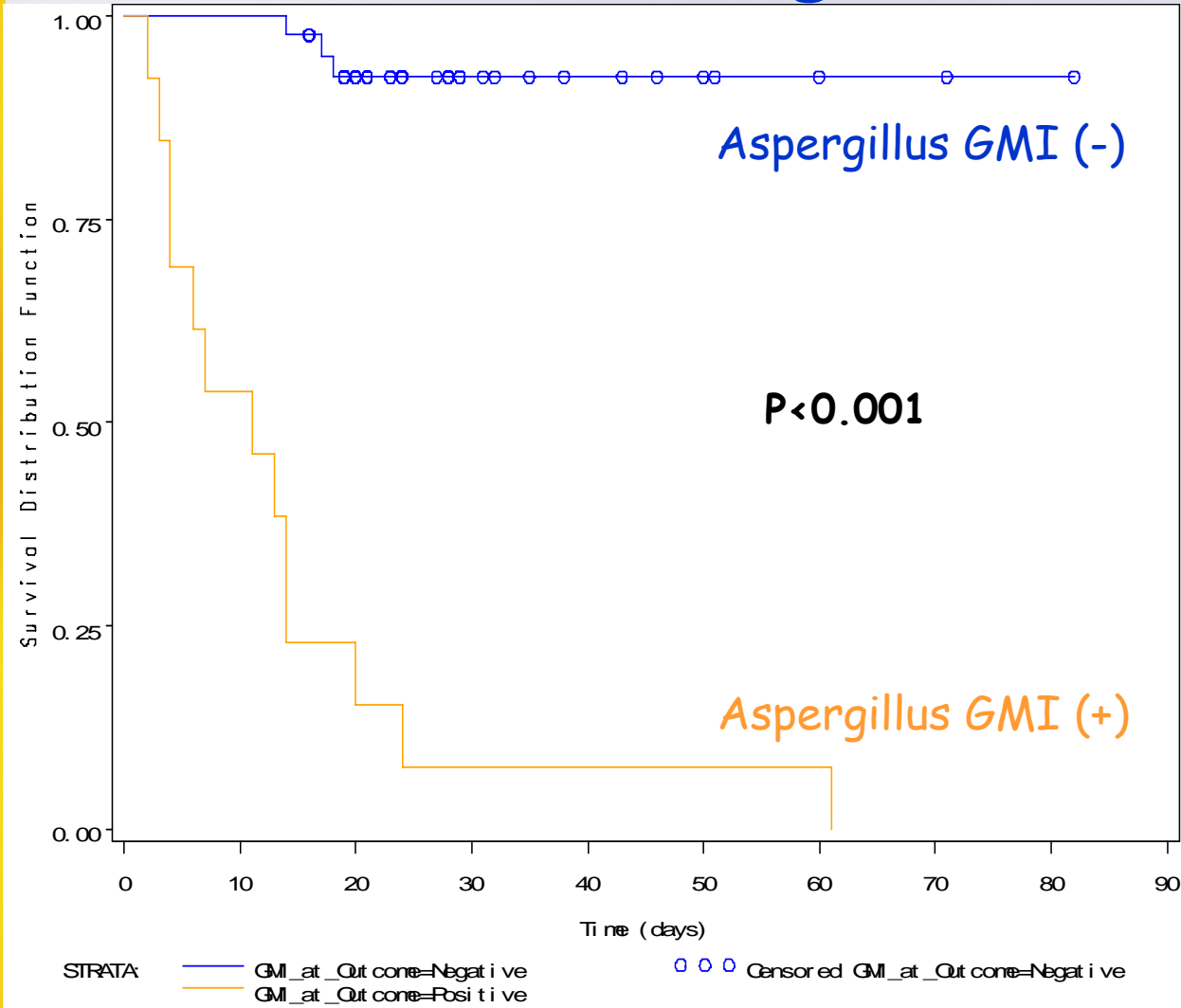
## Kappa Correlation Coefficient (KCC) GMI and Survival

- 56 pts: Auto-Tx (21), allo (3), other (32)
- KCC GMI & Survival:

	<u>KCC (95% CI)</u>	<u>P value</u>
Overall	.8609 (.7093-1.000)	<.0001
Neutropenic	.8271 (.6407-1.000)	<.0001
Non-neutropenic	1.0	.0083

# GMI Predicts Outcome incl. Survival

## Survival of 56 pts with Hem. Ca and IA according to GMI





# Validating Surrogates Correlation Concordance

Literature

Proven/Probable IA

Sequential testing

- (within 1 wk of outcome)

## KCC between GMI and Survival Literature (27 pub):

- 257pts; Hem. Ca. auto-Tx, allo-Tx, oth.
- 3 outcomes:
  - Survival (survival/death)
  - Global (survival/death incl. autopsy)
  - Autopsy (autopsy findings only)

<u>Outcome</u>	<u>KCC (95% CI)</u>	<u>P value</u>
Survival	.8737 (.8140-.9333)	<.0001
Global	.9123 (.8617-.9629)	<.0001
Autopsy	.8498 (.5608-1.000)	<.0001

KCC for all outcomes comparable across

age groups (peds and adults) and

treatment modalities including allo-HSCT.

## "Limitations" of GMI:

False (+) & (-)  
vs. diagnostics  
-rarely available  
-non-specific  
-unvalidated  
-transient

### Exceptions:

Pip-Tazo, amox-clav

Mould prophylaxis

■ Test Performance: always  
compare to Gold Standard  
For Aspergillosis: Autopsy

False (+) :1.3%

False (-): 2.6%

Maertens J. JCM 199    Rovira M Transpl. 2004

Maertens J CID 2005    Verweij PE. Infect 1997

Maertens J. Blood 2001    Ulusakarya A Hem J 2000

Kawazu M JCM 2004    Salonen Scand.J ID 2000

Moragues MD Rev Iberoam Micol 2003

# Breaking the Mold

## Surrogate Endpoints & Novel Strategies

- The Diagnosis & Management of IA is Difficult
- Serum *Aspergillus Galactomannan*: excellent surrogate marker for diagnosis and surrogate endpoint for outcome assessment
- Implications for patient care & novel trial strategies
- **Now is the time to break the mold of conventional clinical trials for *Aspergillus*-active agents**